

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU098494603US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: Mar. 6, 2002

Signature: *Susan Hunter*  
(Susan Hunter)

JC20 Rec'd PCT/PTO 06 MAR 2002

#3

Docket No.: HO-P01899US3  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Huda Y. Zoghbi, et al.

Customer No. 26271  
(09906355 / OTA 99-47/  
LVM 205429)

Application No.: 09/980,381 / US00/PCT/15410

Group Art Unit: N/A

Filed: June 1, 2000

Examiner: Not Yet Assigned

For: COMPOSITIONS AND METHODS FOR THE  
THERAPEUTIC USE OF AN ATONAL-  
ASSOCIATED SEQUENCE FOR DEAFNESS,  
OSTEOARTHRITIS AND ABNORMAL CELL  
PROLIFERATION

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 USC 371 IN THE DO/EO/US**

**Box PCT**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notification of Missing Requirements mailed January 9, 2002, Applicants respectfully submit the following within the two-month response period set to expire on March 9, 2002:

- Inventor Declaration identified with international application number/filing date;
- Power of Attorney by Assignee;
- Copy of Assignment previously recorded on 11/06/2000, reel 011235, frame 0910;
- Transmittal of Sequence Listing;
- Paper copy of Sequence Listing;
- CRF copy of Sequence Listing;
- Fee Transmittal with check for \$9;
- Copy of Notification;
- Return postcard.

03/11/2002 UEDUVIJE 00000144 09980381

01 FC:967

9.00 OP

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 09906355. A duplicate copy of this paper is enclosed.

Dated: March 6, 2001

Respectfully submitted,

By   
Melissa L. Sistrunk

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/980,381	Huda Zoghbi	HO-P01899US3(09906355)

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INTERNATIONAL APPLICATION NO.
PCT/US00/15410

I.A. FILING DATE	PRIORITY DATE
06/01/2000	08/04/1999

CONFIRMATION NO. 1277

371 FORMALITIES LETTER



\*OC000000007281342\*

Date Mailed: 01/09/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Small Entity Statement

Received
JAN 15 2002
Docket: P01899US3
Client: Baylor
Attorney: MLS

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$9 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$9 for a Small Entity:

- Total additional claim fee(s) for this application is \$9
  - \$9 for 123 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,381	PCT/US00/15410	HO-P01899US3(09906355)